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PTO/SB/21 (6-98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (To be used for all correspondence after initial filing)	Application Number	09/404,122	<b>JAN 5 - 2000</b>
	Filing Date	SEPTEMBER 23, 1999	<b>Group 2700</b>
	First Named Inventor	AMOS YAHIL	
	Group Art Unit	2761	
	Examiner Name	UNKNOWN	
Total Number of Pages in This Submission	<b>ISS</b>	Attorney Docket Number	7684-PA01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (FORM PTO /SB/08A); CITED REFERENCES; RETURN POSTCARD</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
ATTORNEY NAME	ELEANOR M. MUSICK REG. NO. 35,623
FIRM	BROWN, MARTIN, HALLER & McCLAIN, LLP
SIGNATURE	<i>Eleanor Musick</i>
DATE	DECEMBER 28, 1999

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>DECEMBER 28, 1999</b>			
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Signature	<i>Eleanor Musick</i>	Date	DECEMBER 28, 1999



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JAN 5 - 2000

PATENT

Group 2700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
pal

In Re Application of )  
AMOS YAHIL et al. ) FOR: SYSTEM AND METHOD FOR  
Serial No.: 09/404,122 ) PREDICTION OF BEHAVIOR OF  
Filed: September 23, 1999 ) COMPLEX SYSTEMS  
Group  
Art Unit: 2761

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Examiner

Dear Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to patentability of the invention disclosed and claimed in the above-cited application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

DECEMBER 28, 1999

(Mailing Date)

ELEANOR M. MUSICK

(Typed Name)

*Eleanor M. Musick*  
(Signature)

DECEMBER 28, 1999

(Date of Signature)

Applicants are submitting herewith copies of the references which are set forth on the attached Form PT/SB/08A. As all relevant parts of the references are in the English language, no explanation of the references is provided herein.

This Information Disclosure Statement is submitted within three (3) months of the filing date of the above-cited application or of the date of entry into the national phase of the application or prior to the mailing date of a first Office Action thereon, whichever has occurred last, such that no fee is required.

Further, while the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specifically designated as such.

Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

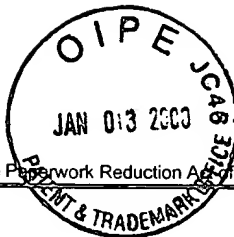
Respectfully submitted,

Dated: 18. Dec. 99

By:   
Eleanor M. Musick  
Attorney for Applicants  
Registration No. 35,623

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Docket No.: 7684-PA01



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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

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First Named Inventor	AMOS YAHIL	
Group Art Unit	2761	
Examiner Name	UNKNOWN	
Attorney Docket Number	7684-PA01	

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	AA	5,544,281		Maruoka et al.	08-06-1996
	AB	5,761,442		Barr et al.	06-02-1998
	AC	5,842,199		Miller et al.	11-24-1998
	AD	5,912,993		Puetter, et al.	06-15-1999

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>5</sup>
		Office <sup>3</sup>	Number <sup>4</sup> (if known)	Kind Code <sup>5</sup>			

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.